#### 103D CONGRESS 1ST SESSION

# S. 1781

To make improvements in the Black Lung Benefits Act, and for other purposes.

### IN THE SENATE OF THE UNITED STATES

NOVEMBER 22, 1993

Mr. Simon (for himself, Mr. Wofford, Mr. Robb, and Mr. Rockefeller) introduced the following bill; which was read twice and referred to the Committee on Labor and Human Resources

## A BILL

To make improvements in the Black Lung Benefits Act, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE; REFERENCE.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Black Lung Benefits Restoration Act".
- 6 (b) Reference.—Whenever in this Act (other than
- 7 section 9(a) (1), 10, 11, or 12) an amendment or repeal
- 8 is expressed in terms of an amendment to, or repeal of,
- 9 a section or other provision, the reference shall be consid-

- 1 ered to be made to a section or other provision of the
- 2 Black Lung Benefits Act (30 U.S.C. 901 et seq.).
- 3 SEC. 2. BENEFIT OVERPAYMENT.
- 4 Part C is amended by adding at the end the following:
- 5 "Sec. 436. (a) The repayment of benefits paid on
- 6 a claim filed under this part before the final adjudication
- 7 of the claim shall not be required if the claim was finally
- 8 denied, unless fraud or deception was used to procure the
- 9 payment of such benefits.
- 10 "(b) The trust fund shall refund any payments made
- 11 to it as a reimbursement of benefits paid on a claim filed
- 12 under this part before the final adjudication of the claim,
- 13 unless fraud or deception was used to procure the payment
- 14 of such benefits.
- 15 "(c) The trust fund shall reimburse an operator for
- 16 any benefits paid on a claim filed under this part before
- 17 the final adjudication of the claim if the claim was finally
- 18 denied.
- 19 "(d) If on a claim for benefits filed under this part—
- 20 "(1) the Secretary makes an initial determina-
- 21 tion—
- 22 "(A) of eligibility; or
- "(B) that particular medical benefits are
- 24 payable; or
- 25 "(2) an award of benefits is made,

- 1 the operator found to be the responsible operator under
- 2 section 422(h) shall, within 30 days of the date of such
- 3 determination or award, commence the payment of month-
- 4 ly benefits accruing thereafter and of medical benefits that
- 5 have been found payable. If an operator fails to timely
- 6 make any payment required by an initial determination
- 7 or by an award, such determination or award shall be con-
- 8 sidered final as of the date of its issuance.".

#### 9 SEC. 3. EVIDENCE.

- Section 422 (30 U.S.C. 932) is amended by adding
- 11 at the end the following:
- " (m)(1)(A) During the course of all proceedings on
- 13 a claim for benefits under this part, the results of not
- 14 more than 3 medical examinations and 3 interpretations
- 15 of chest roentgenograms offered by the claimant may be
- 16 received as evidence to support eligibility for benefits.
- 17 "(B) During the course of all proceedings on a claim
- 18 for benefits under this part, the responsible operator or
- 19 the trust fund—
- 20 "(i) may require, at no expense to the claimant,
- 21 that the claimant undergo certain medical examina-
- 22 tions, but the responsible operator or trust fund may
- 23 not submit or require more medical examinations
- than are conducted and submitted during the course
- of all proceedings by the claimant; and

"(ii) may offer into evidence the set of results 1 2 of one chest roentgenogram for each set of chest roentgenogram results that are offered into evidence 3 by the claimant. "(C) An administrative law judge may require the 5 miner to submit to a medical examination by a physician assigned by the District Director if the administrative law judge determines that, at any time, there is good cause 8 for requiring such examination. For purposes of this subparagraph, good cause shall exist only when the administrative law judge is unable to determine from existing evidence whether the claimant is entitled to benefits. "(D) The complete pulmonary evaluation provided 13 each miner under section 413(b) and any consultative 14 15 evaluation developed by the District Director shall be received into evidence notwithstanding subparagraph (A) or (B). 17 18 "(E) Any record of— 19 "(i) hospitalization for a pulmonary or related 20 disease; "(ii) medical treatment for a pulmonary or re-21 22 lated disease; and "(iii) a biopsy or an autopsy, 23 may be received into evidence notwithstanding subparagraph (A) or (B). 25

- 1 "(2) In addition to the medical examinations author-
- 2 ized by paragraph (1), each party may submit not more
- 3 than one interpretive medical opinion whether presented
- 4 as documentary evidence or in oral testimony. Such medi-
- 5 cal opinion may review other evidence derived from chest
- 6 roentgenograms, blood gas studies, or pulmonary function
- 7 studies contained in the reports offered under this sub-
- 8 section.
- 9 "(3) A request for modification of a denied claim
- 10 under section 22 of the Longshore and Harbor Workers'
- 11 Compensation Act, as made applicable to this Act by sub-
- 12 section (a) of this section, shall be considered as if it were
- 13 a new claim for the purpose of applying the limitations
- 14 prescribed by paragraphs (1) and (2).
- 15 "(4) The opinion of a miner's treating physician, if
- 16 offered in accordance with paragraph (1)(A), shall be
- 17 given substantial weight over the opinion of other physi-
- 18 cians in determining the claimant's eligibility for benefits
- 19 if the treating physician is board-certified in a specialty
- 20 relevant to the diagnosis of total disability or death due
- 21 to pneumoconiosis.
- 22 "(5) For purposes of this subsection, a medical exam-
- 23 ination consists of a physical examination and all appro-
- 24 priate clinical studies (not including a biopsy or an au-

- 1 topsy) related to the diagnosis of total disability or death
- 2 due to pneumoconiosis.".

#### 3 SEC. 4. SURVIVOR BENEFITS.

- 4 (a) Death.—Section 422 (30 U.S.C. 932), as
- 5 amended by section 3, is amended by adding at the end
- 6 the following:
- 7 "(n) If an eligible survivor files a claim for benefits
- 8 under this part and if the miner—
- 9 "(1) was receiving benefits for pneumoconiosis
- pursuant to a final adjudication under this part; or
- 11 "(2) was totally disabled by pneumoconiosis at
- the time of the miner's death,
- 13 the miner's death shall be considered to have occurred as
- 14 a result of the pneumoconiosis, unless the miner's death
- 15 was the result of an event that had no medical connection
- 16 with the pneumoconiosis.".
- 17 (b) Rules for Widows and Widowers.—Section
- 18 422 (30 U.S.C. 932), as amended by subsection (a), is
- 19 amended by adding at the end the following:
- 20 "(o)(1) A widow or widower of a miner who was mar-
- 21 ried to the miner for less than 9 months at any time pre-
- 22 ceding the miner's death is not qualified to receive survi-
- 23 vor benefits under this part unless the widow or widower
- 24 was the natural or adoptive parent of the miner's child.

- 1 "(2) The widow or widower of a miner is disqualified
- 2 to receive survivor benefits under this part if the widow
- 3 or widower remarries before attaining the age of 50.
- 4 "(3) A widow or widower may not receive an aug-
- 5 mentation in survivor benefits on any basis arising out of
- 6 a remarriage of the widow or widower.".

#### 7 SEC. 5. RESPONSIBLE OPERATOR.

- 8 Section 422(h) (30 U.S.C. 932(h)) is amended by in-
- 9 serting "(1)" after "(h)" and by adding at the end the
- 10 following:
- 11 "(2)(A) Prior to issuing an initial determination of
- 12 eligibility, the Secretary shall, after investigation, notice,
- 13 and a hearing as provided in section 19 of the Longshore
- 14 and Harbor Workers' Compensation Act, as made applica-
- 15 ble to this Act by subsection (a) of this section, determine
- 16 whether any operator meets the Secretary's criteria for li-
- 17 ability as a responsible operator under this Act. If a hear-
- 18 ing is timely requested on the liability issue, the decision
- 19 of the administrative law judge conducting the hearing
- 20 shall be issued not later than 120 days after such request
- 21 and shall not be subject to further appellate review.
- 22 "(B) If the administrative law judge determines that
- 23 an operator's request for a hearing on the liability issue
- 24 was made without reasonable grounds, the administrative

- 1 law judge may assess the operator for the costs of the pro-
- 2 ceeding (not to exceed \$750).".
- 3 SEC. 6. ATTORNEY FEES.
- 4 (a) ELIGIBILITY FOR FEES.—Section 422 (30 U.S.C.
- 5 932), as amended by section 4(b), is amended by adding
- 6 at the end the following:
- 7 "(p)(1) If in any administrative or judicial proceeding
- 8 on a claim for benefits a determination is made that a
- 9 claimant is entitled to such benefits, the claimant shall
- 10 be entitled to receive all reasonable costs and expenses (in-
- 11 cluding expert witness and attorney's fees) incurred by the
- 12 claimant in such proceeding and in any other administra-
- 13 tive or judicial proceeding on such claim occurring before
- 14 such proceeding.
- 15 "(2) In the case of a proceeding held with respect
- 16 to such claim—
- 17 "(A) the person or Board that made the deter-
- mination that the claimant is entitled to benefits in
- an administrative proceeding and any other person
- or Board that made a prior determination in an ad-
- 21 ministrative proceeding on such claim; or
- 22 "(B) the court in the case of a judicial proceed-
- 23 ing,
- 24 shall determine the amount of all costs and expenses (in-
- 25 cluding expert witness and attorney's fees) incurred by the

- 1 claimant in connection with any such proceeding and shall
- 2 assess the operator responsible to the claimant for such
- 3 costs and expenses that are reasonable or if there is not
- 4 an operator responsible to the claimant, shall assess the
- 5 fund for such costs and expenses.
- 6 "(3) The determination of such costs and expenses
- 7 shall be made within 60 days of the date the claimant sub-
- 8 mits a petition for the payment of such costs and expenses
- 9 to a person, the Board, or court that made a determina-
- 10 tion on the claimant's claim. The person, Board, or court
- 11 receiving such petition shall take such action as may be
- 12 necessary to assure that such costs and expenses are paid
- 13 within 45 days of the date of the determination of such
- 14 costs and expenses unless a motion to reconsider—
- 15 "(A) the amount of such costs and expenses; or
- 16 "(B) the person liable for the payment of such
- 17 amount.
- 18 is pending.
- 19 "(4) If an operator pays costs and expenses assessed
- 20 under paragraph (1) and if the claimant for whom such
- 21 costs and expenses were paid is determined in a later pro-
- 22 ceeding not to be eligible for benefits under this part, the
- 23 fund shall pay the operator the amount paid for such costs
- 24 and expenses.

- 1 "(5) Section 28(e) of the Longshore and Harbor
- 2 Workers' Compensation Act shall apply with respect to
- 3 any person who receives costs and expenses that are paid
- 4 under this subsection on account of services rendered a
- 5 claimant.".
- 6 (b) Effective Date.—The amendment made by
- 7 subsection (a) shall apply only with respect to claims that
- 8 are filed for the first time after the date of the enactment
- 9 of this Act and shall not apply with respect to any claim
- 10 that is filed before such date and that is refiled under sec-
- 11 tion 8 of this Act after such date.
- 12 SEC. 7. ADMINISTRATION.
- 13 (a) Appeals to the Benefits Review Board.—
- 14 No appeal of an order in a proceeding under the Black
- 15 Lung Benefits Act may be made by a claimant or respond-
- 16 ent to the Benefits Review Board unless such order has
- 17 been made by an administrative law judge.
- 18 (b) Acquiescence.—The Secretary of Labor may
- 19 not delegate to the Benefits Review Board the authority
- 20 to refuse to acquiesce in a decision of a Federal court.
- 21 SEC. 8. REFILING.
- Any claim filed under the Black Lung Benefits Act
- 23 after January 1, 1982, but before the date of the enact-
- 24 ment of this Act, may be refiled under such Act after the

1	date of the enactment of this Act for a de novo review
2	on the merits.
3	SEC. 9. DEFINITIONS.
4	(a) Coke Ovens.—
5	(1) Federal mine safety and health act
6	OF 1977.—Section 3 of the Federal Mine Safety and
7	Health Act of 1977 (30 U.S.C. 802) is amended—
8	(A) in subsection (d), by inserting before
9	the semicolon the following: "or who operates a
10	coke oven or any machine shop or other oper-
11	ation reasonably related to the coke oven";
12	(B) in subsection (g), by inserting before
13	the semicolon the following: "or working at a
14	coke oven or in any other operation reasonably
15	related to the operation of a coke oven"; and
16	(C) in subsection (h)(2), by inserting be-
17	fore the semicolon the following: "and includes
18	a coke oven and any operation, structure, or
19	area of land reasonably related to the operation
20	of a coke oven".
21	(2) Black lung benefits act.—The first
22	sentence of section 402(d) (30 U.S.C. 902(d)) is
23	amended by inserting before the period the follow-

ing: "or who works or has worked at a coke oven or

24

- 12 in any other operation reasonably related to the op-1 2 eration of a coke oven". (b) PNEUMOCONIOSIS.—Section 402(b) (30 U.S.C. 3 902(b)) is amended— (1) by adding after "sequelae" the following: 5 "which disease or sequelae is restrictive or obstruc-6 7 tive or both": and
- (2) by striking out "coal mine" and inserting in 8 9 lieu thereof "coal mine or coke oven".

#### 10 SEC. 10. BENEFITS REVIEW BOARD.

- 11 Section 21(b)(1) of the Longshoremen's and Harbor
- Workers' Compensation Act (33 U.S.C. 921(b)(1)) is
- amended— 13

21

22

23

- 14 (1) by inserting after the first sentence the following new sentence: "The Secretary shall appoint 15 16 and fix the compensation of the Benefits Review 17 Board members without regard to the provisions of 18 title 5, United States Code, governing appointments 19 in the competitive service, and without regard to the 20 provisions of chapter 51 and subchapter III of chap-
- 24 (2) in paragraph (5), by striking the sixth sen-25 tence: and

75, relating to adverse actions.";

ter 53, relating to classification and the General

Schedule pay rates, and without regard to chapter

- 1 (3) by adding at the end thereof the following 2 new paragraph:
- "(6) The rate of compensation for members of the Board shall not exceed the daily equivalent of the maximum rate specified in section 5376 of title 5, United States Code.".

#### 7 SEC. 11. COMPENSATION FOR WORK INJURIES REGULA-

8 TIONS.

13

14

15

16

17

18

19

20

21

22

23

24

25

- 9 Section 8149 of title 5, United States Code, is 10 amended—
- 11 (1) in the second sentence by striking "des-12 ignated or"; and
  - (2) by inserting after the second sentence the following new sentences: "The Secretary shall appoint and fix the compensation of the Employee's Compensation Appeals Board members without regard to the provisions of this title, governing appointments in the competitive service, and without regard to the provisions of chapter 51 and subchapter III of chapter 53, relating to classification and the General Schedule pay rates, and without regard to chapter 75, relating to adverse actions. The rate of compensation for members of the Board shall not exceed the daily equivalent of the maximum rate specified in section 5376.".

14

 $\circ$